

1 **Analyzing the Grell-Freitas convection scheme from hydrostatic to nonhydrostatic**  
2 **scales within a global model**

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## ABSTRACT

14 We implemented the Grell and Freitas (GF) parameterization of convection in which the cloud base  
15 mass flux varies quadratically as a function of the convective updraft fraction in the global non-  
16 hydrostatic Model for Prediction Across Scales (MPAS). We evaluated the performance of GF using  
17 quasi-uniform meshes and a variable-resolution mesh centered over South America which  
18 resolution varied between hydrostatic (50 km) and nonhydrostatic (3 km) scales. Four-day  
19 forecasts using a 50 km and a 15 km quasi-uniform mesh, initialized with GFS data for 0000 UTC 10  
20 January 2014, reveal that MPAS overestimates precipitation in the tropics relative to the Tropical  
21 Rainfall Measuring Mission Precipitation Analysis data. Results of four-day forecasts using the  
22 variable-resolution mesh reveal that over the refined region of the mesh, GF performs as a  
23 precipitating shallow convective scheme whereas over the coarse region of the mesh GF acts as a  
24 conventional deep convective scheme. As horizontal resolution increases and subgrid scale motions  
25 become increasingly resolved, the contribution of convective and grid-scale precipitation to the  
26 total precipitation decreases and increases, respectively. Probability density distributions of  
27 precipitation highlight a smooth transition in the partitioning between convective and grid-scale  
28 precipitation, including at gray-zone scales across the transition region between the coarsest and  
29 finest regions of the global mesh. Variable-resolution meshes spanning between hydrostatic and  
30 nonhydrostatic scales are shown to be ideal tools to evaluate the horizontal scale dependence of  
31 parameterized convective and grid-scale moist processes.

## 32 1. Introduction

33 In atmospheric modeling systems, the choice of horizontal resolution drives moist processes and  
34 precipitation to be classified as implicitly represented using convective parameterizations or  
35 explicitly simulated using cloud microphysics parameterizations. At low horizontal resolutions, it is  
36 expected that the parameterized convective transport and precipitation contribute a major part to  
37 the total transport and precipitation. At high horizontal resolutions, the effect of parameterized  
38 convection is expected to weaken as subgrid-scale motions become better resolved and dominate  
39 the total transport and precipitation.

40 Parameterizations of moist convection (e.g. Arakawa and Schubert 1974; Grell 1993; Kain and  
41 Fritsch 1993; Tiedtke 1989) were originally developed for atmospheric modeling systems where  
42 horizontal resolutions were too coarse to explicitly simulate convective motions. In these so-called  
43 conventional mass-flux schemes, the formulation of the vertical convective eddy transport as a  
44 function of the cloud mass flux relies on the assumptions that the area occupied by convective  
45 updrafts is very small relative to that of the model grid box and that the mean vertical velocity is  
46 several times smaller than the vertical velocity of individual convective updrafts. As the horizontal  
47 resolution of global numerical prediction systems moves towards non-hydrostatic scales (Satoh et  
48 al. 2008; Yeh et al. 2002; Skamarock et al. 2012), these fundamental assumptions break down and a  
49 spatial scale dependence of the vertical convective eddy transport is required.

50 Arakawa et al. (A11; 2011), followed by Arakawa and Wu (A13; 2013), introduced the concept of a  
51 *unified* parameterization of convection for use at all horizontal scales between those used in low-  
52 resolution global circulation models (GCMs) and those used in cloud-scale resolving models  
53 (CRMs). A13 demonstrated that as horizontal resolution increases and the fractional area covered  
54 by convective updrafts increases, the vertical convective eddy transport decreases relative to that

55 calculated with full adjustment to a quasi-equilibrium state in conventional mass-flux schemes, and  
56 that the scaling factor between the reduced eddy transport and that with full adjustment is a  
57 quadratic function of the convective updraft fraction. The quadratic dependence of the vertical  
58 convective eddy transport on the convective updraft fraction ensures a smooth transition in the  
59 calculation of subgrid-scale convective motions across scales, including the so-called “gray scales”  
60 at which conventional convective parameterizations are ill-posed.

61 Several studies addressed the dependence of mass-flux based convective parameterizations on  
62 spatial resolution and new approaches have been implemented to simulate convection at all scales  
63 in numerical weather prediction (NWP) models (e.g. Kuell et al. 2007; Gerard et al. 2009; Gomes  
64 and Chou 2010; Grell and Freitas 2014). Kuell et al. (2007) argued that the assumption of the  
65 updraft, downdraft, and environmental subsidence mass fluxes to be confined in one grid column  
66 breaks down in NWP models with horizontal resolution of a few kilometers. Instead, their *hybrid*  
67 approach assumes that convective updrafts and downdrafts can remain parameterized in the local  
68 grid-column while environmental subsidence can spread to neighboring columns and be treated by  
69 the grid-scale equations at increased horizontal resolutions. Gerard et al. (2009) introduced a  
70 prognostic treatment of the convective updraft and downdraft fractions and increased interactions  
71 between convective and grid-scale condensation to reduce the intermittent on and off behavior of  
72 deep convection and biases in the diurnal cycle of convective precipitation (Guichard et al. 2004)  
73 when conventional mass-flux schemes are used at finer resolution and smaller time-steps. Gomes  
74 and Chou (2010) analyzed the horizontal scale dependence of the partitioning between convective  
75 and grid-scale precipitation in the Eta model (Mesinger et al. 1998) at different horizontal  
76 resolutions. The Eta model used the Kain-Fritsch (KF, Kain 2004) and Ferrier (Ferrier et al. 2002)  
77 parameterizations to simulate convective and cloud microphysics processes, respectively. Their  
78 results from multi-day forecasts over the South Atlantic Convergence Zone are opposite to what is

79 expected as horizontal resolutions increase, for convective precipitation increases and grid-scale  
80 precipitation decreases as grid sizes decrease. Gomes and Chou (2010) improved the scale  
81 dependence of convective and grid-scale precipitation by adding a resolution-dependent parameter  
82 in KF that lets a fraction of the convective in-cloud condensate to evaporate and increase  
83 environmental moisture. Grell and Freitas (2014) introduced a revised version of the stochastic  
84 convection parameterization developed by Grell and Devenyi (2002) that includes a simple  
85 implementation of the ideas first proposed in A11. The parameterization is simply referred to as GF  
86 in this study. Experiments run with the Brazilian developments on the Regional Atmospheric  
87 Modeling System (BRAMS, Freitas et al., 2009) using GF over South America for horizontal  
88 resolutions ranging from 20 km to 5 km showed that parameterized convective heating and drying  
89 rates become smaller as horizontal resolution increases and that parameterized convection is  
90 turned off completely at the highest resolutions. GF is currently used operationally in the Rapid  
91 Refresh model system (RAP, Benjamin et al. 2015) at the National Centers for Environmental  
92 Prediction.

93 Alternatives to using spatially-uniform CRMs and high-resolution GCMs to investigate the  
94 partitioning between implicit and explicit vertical eddy transport and precipitation with varying  
95 horizontal resolutions are variable-resolution GCMs with enhanced horizontal resolution over  
96 specific regions, such as stretched grid GCMs (Fox-Rabinovitz et al. 2000), the Ocean Land  
97 Atmosphere model (Walko and Avissar 2008), and unstructured grid GCMs such as the Model for  
98 Prediction Across Scales (MPAS; Skamarock et al. 2012). MPAS is a fully compressible non-  
99 hydrostatic GCM developed for numerical weather prediction and climate applications. MPAS uses  
100 an unstructured Spherical Centroidal Voronoi Tessellation (SCVT) for its horizontal grid, and its  
101 geometrical properties are well suited to global and regional atmospheric modeling as discussed by  
102 Ju et al. (2011) and Ringler et al. (2008). In addition to providing global quasi-uniform resolution

103 meshes, SCVT generation algorithms provide the means to create variable-resolution meshes  
104 through the use of a single scalar density function, hence opening opportunities for regional  
105 downscaling and upscaling between meso-scales and non-hydrostatic scales to hydrostatic scales  
106 within a global framework. MPAS has been extensively tested using idealized cases such as the  
107 baroclinic wave test case of Jablonowski and Williamson (Park et al., 2013), and 10-day global  
108 forecasts with full physics (Skamarock et al., 2012) to assess the robustness of the dynamical solver  
109 for quasi-uniform and variable-resolution meshes. Results from multiple configurations of MPAS  
110 verify that smooth transitions between the fine- and coarse-resolution regions of the mesh lead to  
111 no significant distortions of the atmospheric flow.

112 We have implemented the GF scale-aware convection parameterization in MPAS. We have tested  
113 the performance of GF to simulate precipitation against observations at hydrostatic scales using  
114 quasi-uniform meshes. Furthermore, we have tested the impact of the horizontal resolution  
115 dependence of the convective updraft fraction on the partitioning between convective and grid-  
116 scale precipitation using a variable-resolution mesh which horizontal resolution varies between  
117 hydrostatic scales in the coarsest region of the mesh to non-hydrostatic scales in the most refined  
118 region of the mesh. In Section 2, we summarize the chief characteristics of GF and briefly describe  
119 the MPAS dynamical core, including its physics components. In Section 3, we describe the different  
120 experiments run with the quasi-uniform and variable-resolution meshes. Results using the quasi-  
121 uniform mesh are discussed in Section 4 while results using the variable-resolution mesh are  
122 described in Section 5. In Section 6, we discuss the impact of GF on the temperature and zonal wind  
123 profiles over the refined region of the mesh, as a way to illustrate the possible impact of a scale  
124 dependent parameterization of convection on the regional atmospheric circulation. In Section 7, we  
125 summarize our results and outline avenues of future research.

## 126 **2. The convective parameterization**

127 The GF parameterization of convection is described in detail in Grell and Freitas (2014). It is based  
 128 on the parameterization initially developed by Grell (1993) and further expanded by Grell and  
 129 Devenyi (2002) to include stochasticism. What distinguishes GF from its preceding versions is the  
 130 inclusion of the unified parameterization of deep convection first proposed by A11, and described  
 131 in detail in A13 and Wu and Arakawa (W14; 2014) to calculate the convective vertical eddy  
 132 transport of moist static energy, moisture, and other intensive variables at varying horizontal  
 133 scales. A13 demonstrates that mass-flux-based parameterizations of convection developed for low  
 134 horizontal resolution GCMs can be modified to work at all horizontal grid scales through the  
 135 reduction of the convective vertical eddy transport as a function of the horizontal fraction of the  
 136 GCM grid-box occupied by convective updrafts, or convective updraft fraction  $\sigma$ . Importantly, A13  
 137 ensures that the formulation of the vertical convective eddy transport reduces to that used in  
 138 conventional convective parameterizations with full quasi-equilibrium adjustment as  $\sigma$  becomes  
 139 small relative to the size of individual GCM grid-boxes. A13 formulates the vertical convective eddy  
 140 transport  $\overline{w'\psi'}$  of an intensive variable  $\psi$  as

$$141 \quad \overline{w'\psi'} = (1 - \sigma)^2 \left( \overline{w'\psi'} \right)_E \quad (1)$$

142 where  $w$  is the vertical velocity and  $\left( \overline{w'\psi'} \right)_E$  is the convective vertical eddy transport under full  
 143 quasi-equilibrium adjustment. In A13,  $\sigma$  is calculated as

$$144 \quad \sigma = \frac{\left( \overline{w'\psi'} \right)_E}{\Delta w \Delta \psi + \left( \overline{w'\psi'} \right)_E} \quad (2)$$

145 to ensure computational stability under all atmospheric conditions. In Eq. (2),  $\Delta w$  and  $\Delta \psi$  are  
 146 differences in  $w$  and  $\psi$  between the convective updraft and the environment.

147 As stated in Grell and Freitas (2014), “different closures may be available for the fractional  
 148 coverage of updraft and downdraft plume”. Because the original intent was to keep GF as simple as  
 149 possible while retaining a smooth transition between hydrostatic and non-hydrostatic scales, GF  
 150 choose to follow the traditional entrainment hypothesis of Simpson et al. (1965). GF specifies  $\sigma$  as a  
 151 function of the half-width radius of the convective updrafts,  $R$ , as defined in Simpson and Wiggert  
 152 (1969), or

$$153 \quad \sigma = \frac{\pi R^2}{A} \quad \text{and} \quad R = \frac{0.2}{\varepsilon}. \quad (3)$$

154 In Eq. (3),  $A$  is the area of the grid-box and  $\varepsilon$  is an initial fractional entrainment rate set to  $7 \times 10^{-5}$   
 155 per meter. This formulation causes significant scale adjustment starting at about 20 km horizontal  
 156 grid size. In addition, GF assumes that  $\sigma$  is limited to a maximum value  $\sigma_{\max}$ . When  $\sigma$  exceeds  $\sigma_{\max}$ ,  
 157 the convective parameterization can either be turned off, or as is done in BRAMS, RAP, and our  
 158 experiments for smaller values of  $A$ ,  $\sigma$  can be set to  $\sigma_{\max}$  and  $\varepsilon$  recalculated using Eq. (3), leading to  
 159 increased values of  $\varepsilon$  for a given  $A$ . This will lead to a decrease in cloud top height as resolution is  
 160 increased further.  $\sigma_{\max}$  is set to 0.7 for this approach (starting the transition to more shallow  
 161 convection at a horizontal resolution of approximately 6 km). If the preferred choice is to turn off  
 162 the convective parameterization, a better value for  $\sigma_{\max}$  may be between 0.9 and 1. Relative to Eq.  
 163 (2), Eq. (3) implies that  $\sigma$  is independent of height. As shown in W14 (see their Fig. 1), there is  
 164 almost no dependence of  $\sigma$  as a function of height for domain sizes ranging between 64 km and 2  
 165 km, at least from idealized experiments using a CRM. Therefore, using Eq. (3) is a reasonable  
 166 simplification of the full procedure proposed by A13 for practical applications. As we focus our  
 167 results on the response of GF to horizontally varying scales, the vertical dependence of  $\sigma$  is beyond  
 168 the scope of this study.

169 Conventional mass-flux parameterizations of deep convection assume that vertical velocities inside  
 170 convective updrafts are several orders of magnitude greater than environmental vertical velocities.  
 171 Under that assumption, it can be shown that  $\left(\overline{w'\psi'}\right)_E$  can be written as

$$172 \quad \left(\overline{w'\psi'}\right)_E \approx \sigma w_c \Delta \psi = \frac{M_E}{\rho_a} \Delta \psi \quad (4)$$

173 where  $M_E$  is the updraft mass flux per unit area,  $\rho_a$  is the air density,  $w_c$  is the vertical velocity inside  
 174 the updraft, and  $\Delta \psi$  is the difference in  $\psi$  between the updraft and the environment. In Eq. (4),  
 175 variables are defined at a given height  $z$  inside the convective updraft. It is normal practice to  
 176 further express  $M_E(z)$  as a function of the cloud base mass flux per unit area,  $M_B$ , or

$$177 \quad M_E(z) = M_B \eta(z) \quad (5)$$

178 Where  $\eta(z)$  is the entrainment rate. Using Eqs. (4) and (5) in Eq. (1), we get

$$179 \quad \overline{w'\psi'}(z) = (1 - \sigma)^2 M_B \frac{\eta(z)}{\rho_a(z)} \Delta \psi(z) . \quad (6)$$

180 GF uses a variety of closures to determine  $M_B$  and solve Eq. (6) as described in Grell and Freitas  
 181 (2014). Because  $\sigma$  is independent of height, implementing the horizontal scale dependence of A13  
 182 in GF reduces to weighting  $M_B$  by  $(1 - \sigma)^2$  and thus requires few modifications to the original  
 183 scheme.

184 We implemented and tested the GF scheme using MPAS. The nonhydrostatic dynamical core in  
 185 MPAS is described in Skamarock et al. (2012). It solves prognostic equations for the horizontal  
 186 momentum (cast in vector-invariant form), vertical velocity, potential temperature, dry air density,  
 187 and scalars. The prognostic equations are cast in flux form to ensure conservation of first-order

188 quantities (e.g. dry-air mass, scalar mass, and entropy). The horizontal discretization uses a C-  
189 staggering of the prognostic variables on a horizontal mesh as described in Ringler et al. (2010).  
190 The vertical discretization uses the height-based hybrid terrain-following coordinate of Klemp  
191 (2011) in which coordinate surfaces are progressively smoothed with height to remove the impact  
192 of small-scale terrain structures. The dynamical solver integrates the flux-form compressible  
193 equations using the split-explicit technique described in Klemp et al. (2007). The basic temporal  
194 discretization uses the third-order Runge-Kutta scheme and explicit time-splitting technique  
195 described in Wicker and Skamarock (2002). MPAS uses the scalar transport scheme described in  
196 Skamarock and Gassman (2011) on the Voronoi mesh, and the monotonic option is used for all  
197 moist species. Finally, MPAS uses the horizontal filtering of Smagorinsky (1963) as described in  
198 Skamarock et al. (2012).

199 In addition to GF, the suite of physics parameterizations includes

- 200 • the land-surface parameterization described by Chen and Dudhia (2001),
- 201 • the Mellor-Yamada-Nakanishi-Niino planetary boundary layer and surface-layer schemes  
202 described by Nakanishi and Niino (2009),
- 203 • the cloud microphysics parameterization of Hong and Lim (WSM6, 2006),
- 204 • the Kain-Fritsch (KF; Kain 2004, Kain and Fritsch 1993) parameterization of convection,
- 205 • the Tiedtke (TD; Tiedtke 1989) parameterization of convection,
- 206 • the semi-empirical cloudiness parameterization of Xu and Randall (1996), and,
- 207 • the Rapid Radiation Transfer Model for application to GCMs described by Mlawer et al. (1997)  
208 and Iacono et al. (2000).

### 209 **3. Description of numerical experiments**

210 Prior to listing the series of experiments run to test GF, we describe the characteristics of the  
211 variable-resolution mesh centered at 4°S-63°W. This mesh, hereafter labeled as the 50-3 mesh, is  
212 the mesh we used to investigate the response of GF at scales varying between the hydrostatic and  
213 non-hydrostatic regimes with MPAS. Figure 1.a displays black isolines of the mean distance  
214 between cell centers and color-filled contours of  $\sigma$ . The variable-resolution region has a circular  
215 structure and the most refined region of the mesh, i.e. area with a distance between cell-centers less  
216 than 6 km, encompasses most of South America and expands east and west over the Atlantic and  
217 Pacific Oceans. Figure 1.a also shows that there exists a smooth transition between the finest and  
218 coarsest region of the mesh with the distance between the 6 km and 24 km isolines spanning over  
219 3300 km along the equator. Figure 1.b displays a histogram of the mean distance between cell  
220 centers. As shown in Table 1, the minimum and maximum distances between cell centers are 2.2  
221 km and 60.2 km, respectively. 67% of the 6,848,514 cells have a mean distance between cell centers  
222 less than 4 km whereas only 3.6% have a mean distance between cell centers greater than 20 km.  
223 The number of cells with mean distances greater than 4 km decreases very rapidly and reaches a  
224 minimum for distances greater than 20 km, except for the bin between 40 km and 50 km. Figure 1.c  
225 highlights the rapid decrease in  $\sigma$  from  $\sigma_{max}$  to 0.3 as the mean distance between cell centers  
226 increases only from 6.1 km to 9.2 km.  $\sigma$  further decreases from 0.3 to 0.1 for distances between 9.2  
227 km and 16 km. Finally,  $\sigma$  decreases slowly from 0.1 to 0.01 for a wide range of distances spanning  
228 between 16 km and 50 km. As discussed in GF14, Fig. 1.c shows that  $(1 - \sigma)^2$  decreases rapidly as  
229 spatial resolution increases and that its impact on the cloud base mass flux becomes significant for  
230 mean distances between cell centers less than 20 km.

231 In order to test the performance of GF at various horizontal resolutions, we ran four four-day  
232 forecasts (QU50, NS50, QU15, and NS15) with a quasi-uniform mesh and three four-day forecasts  
233 (GF70, GFNS, and NOGF) with the 50-3 mesh described above. In QU50 and NS50, the mean

234 distance between cell centers is approximately equal to 50 km and the number of cells is 256,002.  
235 In QU15 and NS15, the mean distance between cell centers is approximately equal to 15 km and the  
236 number of cells is equal to 2,621,442. In QU50 and QU15,  $\sigma$  is computed using Eq. (3), and is equal  
237 to 0.01 and 0.11, respectively. In NS50 and NS15,  $\sigma$  is equal to 0 to remove the horizontal  
238 resolution dependence on the calculation of  $(\overline{w'\psi'})$ . Our motivation for QU50 and QU15, and NS50  
239 and NS15, is to assess the performance GF in MPAS at hydrostatic scales.  
240 All three experiments GF70, GFNS, and NOGF use the 50-3 mesh. In GF70, we set the maximum  
241 convective cloud fraction  $\sigma_{\max}$  to 0.7 and adjusted the initial entrainment rate accordingly. In order  
242 to test the scale sensitivity of GF to horizontal resolution inside and outside the region of mesh  
243 refinement, we set  $\sigma$  equal to 0 in GFNS as in NS50 and NS15 while we turned off GF in NOGF. All  
244 experiments are initialized using analyses from the Global Forecast System (GFS) for 0000 UTC 10  
245 January 2014. Additional details pertinent to the experiments are summarized in Table 1.

#### 246 **4. Results with the quasi-uniform mesh**

247 Figure 2 shows the distribution of daily mean precipitation rates calculated between 0000 UTC 11  
248 January 2014 and 0000 UTC 14 January 2014. The top left panel displays observed precipitation  
249 rates from the Tropical Rainfall Measuring Mission (TRMM) Precipitation Analysis (TMPA Version  
250 7; Huffman et al. 2010). The top right panel shows precipitation rates from the GFS three-day  
251 forecast initialized on 0000 UTC 11 January 2014, and available on a  $0.50^\circ \times 0.50^\circ$  latitude-longitude.  
252 The bottom left and right panels display precipitation rates from QU50 and QU15. We allowed  
253 MPAS to spin up for one full day past the initial conditions. Simulated and observed precipitation  
254 rates are displayed using their respective horizontal resolutions. Precipitation rates spatially-  
255 averaged between  $50^\circ\text{N}$ - $50^\circ\text{S}$  for QU50, QU15, TMPA data, and the GFS forecast are summarized in  
256 Table 2.

257 TMPA data display areas of highest precipitation over the well-known convectively active regions  
258 over land and oceans in January. Over oceans, these regions include the Inter-Tropical Convergence  
259 Zone (ITCZ) located between the Equator and 10°N across the tropical Eastern Pacific and Atlantic  
260 Oceans, the South Pacific and South Atlantic Convergence Zones, a major part of the Indian Ocean,  
261 and the so called warm pool region over the tropical Western Pacific Ocean. Over land, convectively  
262 active regions comprise a major part of South America between the Equator and 10°S, and Southern  
263 Africa. In the middle latitudes, TMPA data show areas of highest precipitation in the middle of the  
264 subtropical Atlantic Ocean, over the eastern United States, and along the eastern coast of North  
265 America over the Atlantic Ocean. At a 0.25°x0.25° latitude-longitude resolution, TMPA data reveal  
266 strong gradients between adjacent areas of strong and weak precipitation, highlighting the strong  
267 spatial and temporal variability of precipitation.

268 There exist significant differences between the GFS precipitation and TMPA data over land and  
269 oceans. Over South America and Southern Africa, the GFS forecast underestimates the spatial extent  
270 of highest precipitation rates. Decreased precipitation is also observed over the eastern United  
271 States and along the eastern coast of North America over the Atlantic Ocean. In the subtropics, the  
272 GFS forecast leads to increased precipitation over the subtropical Pacific and Atlantic Oceans.  
273 Decreased precipitation over land contributes a major part to the 0.4 mm day<sup>-1</sup> negative bias in the  
274 50°N-50°S spatially-averaged precipitation rates between the GFS forecast and TMPA data.

275 Figure 2 shows that while simulating reasonably well the main areas of highest precipitation, QU50  
276 and QU15 systematically overestimate precipitation over convectively active regions in the tropics  
277 when compared against the TMPA data and the GFS forecast. Increased precipitation is obvious  
278 over South America, Southern Africa, the western Indian Ocean, and the warm pool region,  
279 particularly in QU50. Both QU50 and QU15 overestimate (underestimate) the strength of the ITCZ  
280 along the eastern Pacific (Atlantic) Ocean. As in the GFS forecast, QU50 and QU15 underestimate

281 precipitation over the eastern United States and the eastern coast of North America. QU50, QU15,  
282 and GFS also overestimate precipitation over the subtropical oceans, as seen over the South Pacific  
283 and South Atlantic Oceans.

284 In Fig. 3, we show zonal mean differences in the precipitation rates between QU50, QU15, and  
285 TMPA data, and between the GFS forecast. Outside of the latitudinal belt between 15°N and 15°S,  
286 differences against the GFS forecast oscillate between plus and minus 0.8 mm day<sup>-1</sup> while  
287 differences against TMPA data are mostly positive and exceed 1.0 mm day<sup>-1</sup>. This result  
288 corroborates that, at extra tropical latitudes, QU50, QU15, and the GFS forecasts produce similar  
289 biases when compared against TMPA data, namely increased precipitation over the subtropical  
290 oceans and decreased precipitation over the eastern United States and along the east coast of North  
291 America. Between 15°N and 15°S, zonal mean differences are mostly positive and have absolute  
292 values greater than 3.4 mm day<sup>-1</sup> when compared against both the TMPA data and GFS forecast.  
293 This result suggests that the GFS forecast is in better agreement than QU50 and QU15 when  
294 compared against TMPA data over convectively active regions in the tropics. The maximum zonal  
295 mean bias located around 10°S decreases slightly in QU15 relative to QU50 in response to increased  
296 spatial resolution. As seen in Table 2, the bias in the 50°N-50°S spatially-averaged precipitation rate  
297 decreases from 0.4 mm day<sup>-1</sup> between the GFS forecast and TMPA data to 0.2 mm day<sup>-1</sup> between the  
298 TMPA data and both QU50, QU15. However, this decreased bias is a result of compensating positive  
299 biases in the tropics and negative biases in the extra tropics.

300 In order to get an initial insight into the origins of increased precipitation in QU50 and QU15 in the  
301 tropics, we replaced GF with the cumulus parameterizations developed by Kain and Fritsch (Kain  
302 2004) and Tiedtke (1989) and ran the experiments KF50, TD50, KF15, and TD15 using the 50 km  
303 and 15 km quasi-uniform meshes. Comparing precipitation rates obtained with KF50, KF15, TD50,  
304 and TD15 against TMPA data and the GFS forecast show differences that have similar geographical

305 patterns and magnitude as the ones shown in Figs. 2 and 3. These results are not shown here for  
306 brevity. Table 2 shows that the 50°N-50°S spatially-averaged precipitation rates obtained with  
307 KF50, KF15, and TD15 are close to the ones obtained with QU50 and QU15 while that obtained with  
308 TD50 is 0.46 mm day<sup>-1</sup> greater than observed. Given that all three parameterizations yield  
309 increased precipitation over land and oceans in the tropics, we infer that interactions between the  
310 convective and other physics parameterizations, in particular cloud microphysics and radiation, are  
311 responsible for the biases outlined above. Origins of these discrepancies and improvement of GF  
312 within the MPAS modeling framework will be the focus of future research.

313 Figure 4 displays the geographical distributions of the convective and grid-scale precipitation rates  
314 obtained with QU50 and QU15 over the same time period as the total precipitation rates shown in  
315 Fig. 3. As seen in Fig. 4, convective precipitation contributes a major part to the total precipitation  
316 in the tropics over land and oceans. Grid-scale precipitation contributes the major part to the total  
317 precipitation in the extra-tropics. As seen in the bottom panels of Fig. 4, grid-scale processes are  
318 responsible for increased precipitation relative to TMPA data over the subtropical oceans. Table 3  
319 summarizes the global mean precipitation rates for the different experiments. The global mean  
320 decrease in total precipitation between QU50 and QU15 is only 0.07 mm day<sup>-1</sup> and results from a  
321 0.21 mm day<sup>-1</sup> decrease in convective precipitation compared to a 0.14 mm day<sup>-1</sup> increase in grid-  
322 scale precipitation. Geographical distributions of differences in total, convective, and grid-scale  
323 precipitation between QU50 and QU15 would show that the impact of increased resolution is highly  
324 variable with areas of increased total precipitation closely neighboring areas of decreased  
325 precipitation (not shown for brevity). The decrease in convective precipitation in QU15 relative to  
326 QU50 occurs over every convectively active areas in the tropics over both land and oceans. The  
327 increase in grid-scale precipitation is noisy and confined over small areas such as the northern  
328 coast of Australia, the Philippines, and the equatorial Atlantic Ocean. Despite the fact that GF

329 includes a horizontal resolution dependence on the cloud base mass flux and TD does not, the  
330 decrease in convective and total precipitation and compensating increase in grid-scale precipitation  
331 is more than twice as large in TD than in GF. The change in convective, grid-scale, and total  
332 precipitation is about the same in KF as in GF.

333 Finally, we analyze the impact of including or not including the  $(1-\sigma)^2$  scaling of the updraft mass  
334 flux by comparing QU50 and QU15 against NS50 and NS15, respectively. In NS50 and NS15, we  
335 removed the resolution dependence of GF by setting  $(1-\sigma)^2$  to 1 in Eq. (6). As listed in Table 1,  $(1-$   
336  $\sigma)^2$  is equal to 0.980 in QU50 and decreases to 0.785 in QU15. Figure 5 displays the zonal mean  
337 differences in the convective, grid-scale, and total precipitation rates between QU50 and NS50, and  
338 between QU15 and NS15. As  $(1-\sigma)^2$  is near 1 in QU50, we do not expect large differences in the  
339 accumulated precipitation when compared against NS50. Indeed, outside of a few latitude bands,  
340 Fig. 5.a shows zonal mean differences in convective precipitation less than  $0.4 \text{ mm day}^{-1}$ , confirming  
341 that GF acts as a conventional cloud-base mass flux parameterization at hydrostatic scales. Table 3  
342 shows that global mean convective, grid-scale, and total precipitation rates are nearly the same in  
343 QU50 and NS50. As spatial resolution increases from hydrostatic to non-hydrostatic resolution, the  
344 impact of weighting the cloud base mass flux by  $(1-\sigma)^2$  increases. Figure 5.b shows that between  
345  $30^\circ\text{N}$  and  $30^\circ\text{S}$  convective precipitation rates decrease while grid-scale precipitation rates increase  
346 in response to the reduced convective mass flux. As the change in grid-scale precipitation does not  
347 balance exactly that in the convective precipitation, the total precipitation decreases with increased  
348 spatial resolution. As listed in Table 3, there is a  $0.05 \text{ mm day}^{-1}$  decrease in total precipitation  
349 between NS15 and QU15 and a near cancellation between the decreased convective precipitation ( $-$   
350  $0.17 \text{ mm day}^{-1}$ ) and increased grid-scale precipitation rate ( $0.12 \text{ mm day}^{-1}$ ).

## 351 5. Results with the variable-resolution mesh

352 a. *Precipitation rates*

353 Figures 6, 7, and 8 show the global distribution of convective, grid-scale, and total precipitation  
354 rates averaged between 0000 UTC 11 January 2014 and 0000 UTC 14 January 2014 and simulated  
355 in GF70, GFNS, and NOGF. Comparing the convective precipitation rate simulated in GF70 against  
356 that obtained in GFNS inside and outside the area of mesh refinement clearly highlights the impact  
357 of the scale dependence of the cloud mass flux as a function of the convective updraft fraction in GF.  
358 A comparison between Figs. 6.a and 6.b shows significantly decreased convective precipitation over  
359 the regions where  $\sigma$  increases towards  $\sigma_{\max}$  over South America, the tropical Eastern Pacific Ocean  
360 east of 110°W, and a major portion of the Western Atlantic Ocean between 40°N and 40°S. Outside  
361 these regions, the magnitude and patterns of convective precipitation in convectively active regions  
362 over land and oceans in the tropics are similar, except for differences inherent to expected  
363 variability between the two experiments. In GF70, increased grid-scale precipitation compensates  
364 decreased convective precipitation over the area of mesh refinement such that it resembles that  
365 obtained in NOGF, as shown in Figs. 7.a and 7.c. In contrast, convective precipitation exceeds grid-  
366 scale precipitation outside the refined mesh such that it resembles that obtained in GFNS. Inside the  
367 area where  $\sigma$  equals 0.7, the region with 3 km mean distance between grid-cell centers yields a  
368 strong spatial variability in accumulated grid-scale precipitation in both GF70 and NOGF relative to  
369 that observed in the coarser area of the mesh over the extra-tropics. Figure 7.b shows that grid-  
370 scale precipitation is strongly reduced in GFNS relative to that simulated in GF70 and NOGF over  
371 the area of local mesh refinement over South America and the ITCZ over the tropical Eastern Pacific  
372 and Western Atlantic Oceans. In GFNS, setting  $\sigma$  equals 0 results in GF to behave as if the mesh was  
373 a quasi-uniform instead of a variable-resolution mesh and for subgrid-scale convective processes to

374 dominate cloud microphysics processes over convectively active regions in the tropics, as discussed  
375 for the quasi-uniform experiments in Section 4.

376 In term of total precipitation, Fig. 8 shows that the GF70 forecast has magnitudes and patterns  
377 similar to the ones obtained with NOGF and GFNS inside and outside the refined area of mesh,  
378 respectively. Over the area where  $\sigma$  equals 0.7, GFNS overestimates total precipitation relative to  
379 GF70 and NOGF; GF does not respond to increased spatial resolution and subgrid-scale convective  
380 processes contribute a major part to the total precipitation. In contrast, GF70 displays smaller total  
381 precipitation differences relative to NOGF than GFNS as parameterized deep convection strongly  
382 weakens and GF transitions from a deep convection to a shallow precipitating convection scheme.

383 Over the coarse area of the mesh where  $\sigma$  decreases to 0.01, the total precipitation from GF70 and  
384 GFNS significantly exceeds that from NOGF, as seen over the main convectively active regions over  
385 land and oceans. The need for parameterized convection at hydrostatic scales is obvious when  
386 comparing NOGF against GF70 and GFNS, and NOGF against TMPA satellite data shown in Fig. 2.a.

387 Over the coarsest region of the mesh, the geographical distribution of grid-scale precipitation is  
388 noisy over convectively active regions. Over the subtropical Pacific and Atlantic Oceans, grid-scale  
389 precipitation in NOGF is increased relative to the total precipitation in GF70 and GFNS.

390 In Fig. 9, we compare the probability density functions (PDFs) of the convective, grid-scale, and  
391 total precipitation rates between GF70 and GFNS as functions of three  $\sigma$  intervals.  $\sigma$  varying  
392 between 0.7 and 0.5 corresponds to mean distances between grid-cell centers increasing from 3 km  
393 to 7 km, including the most refined region of the mesh.  $\sigma$  varying between 0.5 and 0.1 covers the  
394 transition zone between the most refined and coarse regions of the mesh with distances between  
395 cell centers between 7 and 16 km, including the gray-zone scale. Finally,  $\sigma$  less than 0.1 includes the  
396 coarsest region of the mesh where parameterized convection dominates grid-scale processes. The  
397 PDFs include data for all the grid cells located between 30°S and 10°N. In GF70, the magnitude and

398 range of convective precipitation gradually become larger as  $\sigma$  becomes smaller. This is indicative  
399 of a smooth increase in the impact of parameterized convection between the refined and coarse  
400 regions of the mesh. Differences in the range and magnitude of convective precipitation between  
401 GF70 and GFNS over the refined region reflect of the inability of the convective parameterization to  
402 self-adjust at increased horizontal resolutions when  $\sigma$  equals 0. Both GF70 and GFNS lead to  
403 identical PDFs of convective precipitation over the transition and coarse areas of the mesh,  
404 indicating that GF rapidly loses its  $\sigma$  dependence as horizontal resolution decreases. The range and  
405 magnitude of grid-scale and total precipitation do not counter balance those of convective  
406 precipitation in GF70 except over the refined region. Figure 9.b highlights the increase in grid-scale  
407 precipitation over the refined are of the mesh between GF70 and GFNS, in response to decreased  
408 convective precipitation between the two experiments. Looking at the PDF of total precipitation  
409 (Fig. 9.c) reveals that the compensating increased grid-scale precipitation leads to greater  
410 magnitude and range of total precipitation in GF70 relative to GFNS. In contrast to convective  
411 precipitation, the magnitude and range of grid-scale and total precipitation increase in GF70  
412 relative to GFNS over the transition zone between hydrostatic and non-hydrostatic scales. The PDFs  
413 of grid-scale and total precipitation are the same over the coarsest region of the mesh. These results  
414 highlight the sensitivity of grid-scale precipitation to horizontal scales as soon as its contribution to  
415 total precipitation dominates.

416 Simulating the diurnal cycle of tropical convection over land is of major importance in NWP  
417 forecasts because of its impact on the top-of-the-atmosphere and surface radiation budgets and  
418 surface temperatures through the development of convective clouds and precipitation. Using high-  
419 resolution TRMM precipitation radar (PR2A25) data between 10°N and 10°S, Takayabu (2002)  
420 shows that convective rain shows a 0.25 mm hr<sup>-1</sup> maximum over land in the 15-18 Local Time (LT)  
421 afternoon window while stratiform rain displays a 0.1 mm hr<sup>-1</sup> midnight (24-03LT) maximum.

422 Figure 10 displays the diurnal cycle of total precipitation averaged between 15°S-10°N and 80°W-  
423 40°W for GF70, GFNS, and NOGF. The observed diurnal cycle is calculated using TMPA data as in  
424 Section 4. The data is available eight times per day, averaged over a three-hour time window, and  
425 has a 0.25°x0.25° latitude-longitude resolution. The observed diurnal cycle displays two separate  
426 maxima of similar magnitude, a night time maximum at 06 UTC (about 02 LT in the center of the  
427 area) and a late afternoon maximum at 21 UTC (about 17 LT), in conjunction with the development  
428 of afternoon convection and rain showers. Despite its lower temporal spatial resolution relative to  
429 PR2A25 data, TMPA data provides a reliable reference against our experiments. As shown in Fig.  
430 10, NOGF and GF70 display a weak early morning maximum at 08 UTC and 09 UTC and a strong  
431 mid afternoon maximum at 16 UTC and 19 UTC, respectively. Simulated afternoon maxima are too  
432 strong and too early against that from TMPA data. Although the contribution of parameterized  
433 convection is strongly reduced relative to that of grid-scale cloud microphysics over the refined  
434 region of the mesh, Fig. 10 highlights its positive effect on simulating afternoon convection.  
435 Including GF leads to a decreased afternoon maximum that occurs later in GF70 relative to NOGF.  
436 Removing the scale-aware dependence of GF worsens the simulation of afternoon convection  
437 relative to TMPA. While the diurnal cycle of precipitation simulated with GFNS matches that of  
438 TMPA between 03 UTC and 12 UTC, GFNS leads to an unrealistic double peak in precipitation over  
439 the second half of the diurnal cycle. In view of our results, it is obvious that  $\sigma$  must be greater than  
440 zero. It is not known if allowing  $\sigma$  to be greater than 0.7 would further decrease and delay the  
441 afternoon maximum in precipitation in GF70 relative to TMPA.

#### 442 b. *Tendencies*

443 This section focuses on the  $\sigma$  dependence of convective and grid-scale temperature and water  
444 vapor tendencies, cloud water and cloud ice mixing ratios, and horizontal cloud fraction. Figure 11

445 displays the vertical distributions of time- and area-averaged convective, grid-scale, and convective  
446 plus grid-scale tendencies of temperature (left panels) and water vapor (right panels) from GF70,  
447 GFNS, and NOGF. In Fig. 11.d to Fig. 11.f, we multiplied the tendencies of water vapor by  $L_v$  over  $c_p$   
448 in order to express them with the same unit as the tendencies of temperature in Fig. 11.a to Fig.  
449 11.c.  $L_v$  is the latent heat of condensation and  $c_p$  is the specific heat of dry air. Convective tendencies  
450 include the parameterized vertical eddy transport plus condensation from the convective plume  
451 model. The time average is calculated between 0000 UTC 11 January 2014 and 0000 UTC 14  
452 January 2014. As 11 January 2014 is three days past the initial conditions, it is reasonable to  
453 assume that the experiments are beyond their spin-up period and comparing time-averaged  
454 diagnostics between the three experiments yields an actual depiction of interactions between  
455 dynamics and physics processes. The area average is calculated between 15°S and 5°S and 50°W  
456 and 65°W, as shown in Fig. 1. The area includes 244,178 cells and is located over the most refined  
457 region of the mesh. As seen in Fig. 6, vertical profiles are spatially averaged over an area of  
458 minimum convective precipitation from GF70 and maximum convective precipitation in GFNS to  
459 highlight the impact of the  $\sigma$ -dependent closure assumption in GF on the partitioning between  
460 convective and grid-scale tendencies.

461 GFNS produces vertical profiles of convective heating and moistening rates characteristic of profiles  
462 obtained with mass-flux based parameterizations of deep convection. As shown in Figs. 11.a and  
463 11.d, convective heating and drying occur through the entire atmospheric column above 925 hPa.  
464 Convective heating is maximum at 450 hPa. Below 925 hPa, convective tendencies of temperature  
465 and water vapor are both negative, and the level at which the convective heating is equal to zero  
466 coincides with that at which convective drying is maximum. Finally, detrainment of cloud water and  
467 ice at the tops of convective updrafts (not shown) increases with height above 800 hPa reaching a  
468 maximum at about 300 hPa. As noted earlier in this section when describing global patterns of

469 convective and grid-scale precipitation, subgrid-scale convective processes dominate grid-scale  
470 processes in the tropics. As a result, grid-scale tendencies of temperature and water vapor in GFNS  
471 are much smaller than their respective convective tendencies, as seen in Figs. 11.b and 11.e. Figure  
472 11.b (11.e) also reveals a small maximum in grid-scale evaporation (moistening) at 500 hPa and a  
473 small maximum in grid-scale condensation (drying) in the layers of increased convective  
474 detrainment around 300 hPa.

475 Multiplying the convective mass flux calculated under the QE assumption by  $(1 - \sigma)^2$  has a strong  
476 impact on the vertical profiles of convective tendencies over the most refined area of the mesh. As  
477 seen in Figs. 11.a and 11.d, GF70 yields vertical profiles of convective heating and moistening that  
478 are strongly reduced relative to those obtained with GFNS. The chief differences between GF70 and  
479 GFNS include a decrease in convective heating through the entire atmosphere, including a decrease  
480 from 9 to less than 1 K day<sup>-1</sup> at 450 hPa, and the occurrence of a 1.5 K day<sup>-1</sup> maximum in convective  
481 heating at 850 hPa. As shown in Fig. 11.d, reduced deep convection yields not only decreased  
482 convective drying at 900 hPa but also increased convective moistening of the middle troposphere  
483 between 800 hPa and 500 hPa. This increased convective moistening occurs at parameterized cloud  
484 top levels in response to the increased entrainment. In short, reducing the cloud mass flux as a  
485 function of the convective updraft fraction leads GF to transition from a parameterization of deep  
486 convection to that of precipitating shallow convection as the convective updraft fraction increases  
487 over the most refined region of the mesh. Over the refined area of the mesh, compensating effects  
488 between cloud microphysics and convective processes yield vertical profiles of grid-scale heating  
489 and moistening rates from GF70 similar to those obtained with NOGF, as seen in Figs. 11.b and 11.e.  
490 Figures 11.c and 11.f show that the convective plus grid-scale temperature and water vapor  
491 tendencies from GF70 and NOGF are very similar, particularly the heating rate. In contrast, the

492 inability of GFNS to adapt to variations in horizontal resolutions yields increased total heating at  
493 450 hPa and increased total drying at 900 hPa relative to GF70 and NOGF.  
494 Finally, Fig. 12 shows the vertical distribution of the resolved cloud water and cloud ice mixing  
495 ratios, and horizontal cloud fraction, averaged over the same time interval and area as the  
496 tendencies. In GFNS, the major source of cloud water and ice in the tropics is convective  
497 detrainment. Fig. 12.a displays a weak maximum in the cloud water mixing ratio at 600 hPa while  
498 Fig. 12.b shows a strong maximum in the cloud ice mixing ratio at 300 hPa. The horizontal cloud  
499 fraction exhibits a maximum at 200 hPa and rapidly decreases above and below that pressure level  
500 as the cloud ice mixing ratio. Atmospheric layers below this level are practically cloud-free between  
501 600 hPa and 900 hPa. In contrast, GF70 exhibits a strong maximum in the cloud water mixing ratio  
502 at 600 hPa as deep convection weakens and convective moistening between 500 hPa and 800 hPa  
503 strengthens, as depicted in Fig. 11.a. Decreased detrainment of cloud ice at the tops of convective  
504 updrafts leads to a decrease in the cloud ice mixing ratio at 200 hPa. GF70 yields a deeper cloud  
505 layer than GFNS between 200 hPa and 600 hPa in response to the change in total cloud condensate  
506 between the two experiments. As for the convective and grid-scale tendencies, GF70 leads to  
507 vertical profiles of the cloud water and ice mixing ratios and of the cloud fraction that are very  
508 similar to those from NOGF, as seen in all three panels of Fig. 12. In summary, the  $\sigma$  dependence of  
509 the cloud mass flux over the most refined region of the mesh in GF70 yields the formation of a moist  
510 layer between 500 hPa and 800 hPa and grid-scale condensation leads to the formation of a cloud  
511 layer at mid-tropospheric levels capped by a thinner anvil cloud than in GFNS.

## 512 **6. Impact on temperature and zonal wind**

513 We discuss the impact of GF on temperature and zonal wind over the refined region of the mesh.  
514 The conversion of GF from a parameterization of deep convection to a parameterization of

515 precipitating shallow convection as horizontal resolution increases affects the vertical profile of  
516 diabatic heating and therefore temperature. Comparing time- and area-averaged long- and short-  
517 wave radiative heating rates between GF70 and GFNS over the same area as in Figs. 11 and 12  
518 would highlight a reduced cooling of the troposphere below 600 hPa and an enhanced cooling of  
519 the troposphere above between 600 hPa and 200 hPa (not shown for brevity). It would also be  
520 show that long-wave radiation contributes a major part to the change in radiative heating between  
521 the two experiments. The redistribution of radiative heating rates between the middle and upper  
522 troposphere results because middle-level clouds increase whereas high-level clouds decrease, as  
523 previously shown in Fig. 12. Comparing time- and area-averaged diabatic heating rates calculated  
524 in GF70 against those in GFNS would reveal an increased cooling below 850 hPa coupled with a  
525 decreased warming above 850 hPa (not shown for brevity). In GF70, grid-scale evaporation  
526 contributes a major part to the increased cooling relative to GFNS below 850 hPa with maximum  
527 cooling occurring at 925 hPa. Between 850 hPa and 200 hPa, combined increased radiative cooling  
528 and decreased convective and grid-scale heating lead to a decreased diabatic heating of the upper  
529 troposphere.

530 Figs. 13.a-13.c show differences in temperature between GF70 and GFNS at three pressure levels  
531 over the refined and transition regions of the mesh. Although we recognize that there are different  
532 convective regimes across South America besides that depicted over the Amazon Basin in Figs. 11  
533 and 12, it appears that the change in diabatic heating with height as discussed above is typical of  
534 the impact of GF across most of South America. Temperatures are dominantly colder in GF70 than  
535 in GFNS at 850 hPa and 500 hPa and absolute temperature differences between the two  
536 experiments decrease with height. At 200 hPa where the impact of the change in the vertical profile  
537 of clouds is not as large as at higher pressure levels, absolute temperature differences are smaller,  
538 and temperatures are actually warmer in GF70 than in GFNS over part of the continent. Over

539 oceans, GF70 leads to warmer temperatures than GFNS over major cloud systems, as seen over the  
540 South Atlantic Convergence Zone and the low-level stratus region off the Peruvian and Chilean  
541 coasts. Absolute temperature differences are smaller over oceans than over land because sea-  
542 surface temperatures are held fixed, limiting the effect of surface heating on the development of  
543 convection in both GF70 and GFNS. As seen in Figs. 13.d-13.f, zonal wind differences vary widely  
544 over the refined area of the mesh at all three pressure levels. GF70 leads to predominantly  
545 decreased zonal wind at 850 hPa but increased zonal wind at 500 hPa and 200 hPa relative to GFNS  
546 over most of the Amazon Basin north of 15°S. Absolute values of zonal wind differences are  
547 generally greater in the upper- than lower troposphere. Over the coarse region of the mesh,  
548 differences in temperature, zonal wind, and other atmospheric variables such as vertical velocity  
549 and relative humidity, remain small as GF70 and GFNS lead to similar diabatic heating profiles as  
550 the convective updraft area decreases rapidly relative to the area of the grid-cell.

## 551 **7. Summary and conclusion**

552 A variable-resolution mesh in which horizontal resolution varies between hydrostatic and non-  
553 hydrostatic scales has been used to study the scale dependence of a convective parameterization  
554 within a global framework. We implemented the GF parameterization of convection in MPAS to test  
555 a formulation of the horizontal scale dependence of the cloud base mass flux as a function of the  
556 cloud updraft fraction using quasi-uniform and variable-resolution meshes. We focused on the  
557 partitioning between convective and grid-scale precipitation as a function of the cloud updraft  
558 fraction, and differences in the vertical distributions of convective and grid-scale tendencies. As  
559 horizontal resolution increases from the coarsest to the finest area of the mesh, convective  
560 processes transition from parameterized to resolved, and grid-scale precipitation progressively  
561 contributes to a major part to the total precipitation.

562 First, we tested the performance of GF using a 50 km and a 15 km quasi-uniform resolution mesh  
563 with and without the scale dependence of the cloud mass flux on the cloud updraft fraction. Our  
564 results show that parameterized convective precipitation contributes a major part of the total  
565 precipitation in the tropics while grid-scale precipitation contributes a major part of the total  
566 precipitation in the extratropics. All four experiments overestimate total precipitation when  
567 compared against TMPA data and GFS forecast over land and oceans, particularly in the tropics.  
568 Additional experiments in which we replaced GF with KF and TD also lead to increased  
569 precipitation in the tropics, leading us to conclude that parameterizations of the interactions  
570 between convection and other physics components may be as responsible as any of the three  
571 parameterizations of convection to explain this systematic bias. Further analyses will focus on  
572 comparing top-of-the-atmosphere and surface radiation budgets against satellite data and GFS  
573 analyses to ensure that our forecasts produce realistic interactions between convective, grid-scale,  
574 and radiative processes through the parameterization of the grid-scale horizontal cloud fraction  
575 and optical properties.

576 Second, we tested the convective updraft fraction dependence of the cloud mass flux using a  
577 variable-resolution mesh centered over South America. Our high-resolution variable-resolution  
578 mesh allowed the testing of the GF at all scales spanning between the hydrostatic (50 km) and non-  
579 hydrostatic (3 km) regimes. Results showed that as the convective updraft fraction increased and  
580 the convective mass flux decreased from the coarsest to the most refined region of the mesh,  
581 convective processes weakened whereas grid-scale cloud microphysics processes strengthened.  
582 Over the most refined area of the mesh, grid-scale precipitation contributed a major part to total  
583 precipitation, and vertical profiles of subgrid-scale convective heating and drying showed that GF  
584 behaved as a precipitating shallow convection scheme. The diurnal cycle of precipitation exhibited  
585 a primary maximum during the mid afternoon. PDFs of subgrid-scale convective, grid-scale, and

586 total precipitation as functions of the updraft fraction highlighted the smooth transition of subgrid-  
587 scale convective precipitation across horizontal scales, including at gray-zone resolutions. As for  
588 the quasi- uniform resolution experiments, we will analyze the impact of the change in vertical  
589 profiles of the grid-scale cloud water and ice mixing ratios, and the cloud fraction on the top-of-the  
590 atmosphere and surface radiation budgets.

591 We are encouraged by the performance of GF using an unstructured variable-resolution mesh for  
592 scale-aware convection simulations at non-hydrostatic scales. Future analyses will evaluate the  
593 characteristics of subgrid-scale convective and grid-scale cloud systems, focusing over the finest  
594 region of the mesh comparing against TRMM and CloudSat data, as pioneered by Satoh et al. (2010)  
595 and Dobson et al. (2013). A newer version of GF is currently being tested in the Weather Research  
596 Forecast Model (Skamarock et al. 2008) and includes the diurnal cycle effect (Bechtold et al., 2014)  
597 and a coupling with the Stochastic Kinetic-Energy Backscatter Scheme (SKEBS; Berner et al. 2009).

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741 TABLE 1: Horizontal mesh resolutions, minimum and maximum distance between grid-cell centers,  
 742 time-steps, horizontal diffusion length scales, and convective cloud fraction for experiments with  
 743 the quasi-uniform and variable-resolution meshes.

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	QU50	NS50	QU15	NS15	GF70	GFNS	NOGF
No. Cells	256,002	256,002	2,621,442	2,621,442	6,848,514	6,848,514	6,848,514
Min. Cell distance (km)	37.3	37.3	11.0	11.0	2.2	2.2	2.2
Max. Cell distance (km)	50.9	50.9	15.9	15.9	60.2	60.2	60.2
Time-step (s)	360	360	90	90	12	12	12
Diffusion length scale (km)	50	50	15	15	3	3	3
$(1-\sigma)^2$	0.980	1	0.785	1	Fig. 1.c	1	Fig. 1.c

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748 TABLE 2: 50°N-50°S spatially averaged precipitation rates for the different experiments, TMPA  
749 data, and the GFS forecast. Units are mm day<sup>-1</sup>.

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	QU50	NS50	QU15	NS15	TMPA	KF50	KF15	TD50	TD15	GFS
PRECIP. (mm day <sup>-1</sup> )	2.92	2.92	2.86	2.91	3.13	3.02	2.87	3.59	2.94	2.73

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754 TABLE 3: Global mean convective, grid-scale, and total precipitation rates for the different  
755 experiments with the GF, TD, and KF convective parameterizations. Units are mm day<sup>-1</sup>.

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	QU50	NS50	QU15	NS15	TD50	TD15	KF50	KF15
CONVECTIVE (mm day <sup>-1</sup> )	2.09	2.10	1.88	2.05	1.98	1.46	2.26	1.98
GRID-SCALE (mm day <sup>-1</sup> )	1.35	1.34	1.49	1.37	1.60	1.98	1.27	1.38
TOTAL (mm day <sup>-1</sup> )	3.44	3.44	3.37	3.42	3.58	3.44	3.53	3.36

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LIST OF TABLES

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760 centers, time-steps, horizontal diffusion length scales, and convective cloud fraction for  
761 experiments with the quasi-uniform and variable-resolution meshes.

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764 Table 3: Global mean convective, grid-scale, and total precipitation rates for the different  
765 experiments with the GF, TD, and KF convective parameterizations. Units are mm day<sup>-1</sup>.

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769 using isolines of the mean distance between grid-cell centers (km) and filled contours of  
770 the convective cloud fraction (dimensionless); b) histogram of the number of grid cells  
771 as a function of the mean distance between grid-cell centers; and c) convective updraft  
772 fraction as a function of the mean distance between grid-cell centers.

773 **Figure 2:** Geographical distribution of the precipitation rate calculated between 0000 UTC  
774 11 January 2014 and 0000 UTC 14 January 2014 obtained from a) TMPA satellite data  
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776 **Figure 3:** Zonal mean differences in the precipitation rate calculated between 0000 UTC 11  
777 January 2014 and 0000 UTC 14 January 2014 between a) QU50, QU15 and TMPA data;  
778 and b) QU50, QU15, and GFS forecast. Units are mm day<sup>-1</sup>.

779 **Figure 4:** Geographical distribution of the convective precipitation rate calculated between  
780 0000 UTC 11 January 2014 and 0000 UTC 14 January 2014 and simulated with a) QU50  
781 and b) QU15; and the grid-scale precipitation rate calculated between 0000 UTC 11  
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783 Units are mm day<sup>-1</sup>.

784 **Figure 5:** Zonal mean differences in total, convective, and grid-scale precipitation rates  
785 calculated between 0000 UTC 11 January 2014 and 0000 UTC 14 January 2014: a)  
786 QU50 minus NS50; and b) QU15 minus NS15. Units are mm day<sup>-1</sup>.

787 **Figure 6:** Geographical distribution of the convective precipitation rate calculated between  
788 0000 UTC 11 January 2014 and 0000 UTC 14 January 2014 and simulated with a) GF70;  
789 b) GFNS; and c) NOGF. Units are mm day<sup>-1</sup>.

790 **Figure 7:** As Fig. 6, but for the grid-scale precipitation rate.

791 **Figure 8:** As Fig. 6, but for the total precipitation rate.

792 **Figure 9:** Probability density distributions of the a) convective precipitation rate; b) grid-  
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797 **Figure 11:** Vertical distribution of convective, grid-scale, and total heating rates (left  
798 panels), and convective, grid-scale, and total moistening rates (right panels) simulated  
799 with GF70 (black line), GFNS (red line), and NOGF (blue line). Units are K day<sup>-1</sup>.

800 Figure 12: Vertical distribution of the a) cloud water mixing ratio ( $\text{g kg}^{-1}$ ); b) cloud ice  
801 mixing ratio ( $\text{g kg}^{-1}$ ), and c) grid-scale horizontal cloud fraction (%) simulated with  
802 GF70 (black line), GFNS (red line), and NOGF (blue line).

803 Figure 13: Temperature difference (left panels) and zonal wind difference (right panels)  
804 between GF70 and GFNS over the area of mesh refinement at 200 hPa (top panels), 500  
805 hPa (middle panels), 850 hPa (bottom panels). Units are K for temperature and  $\text{m s}^{-1}$  for  
806 zonal wind.

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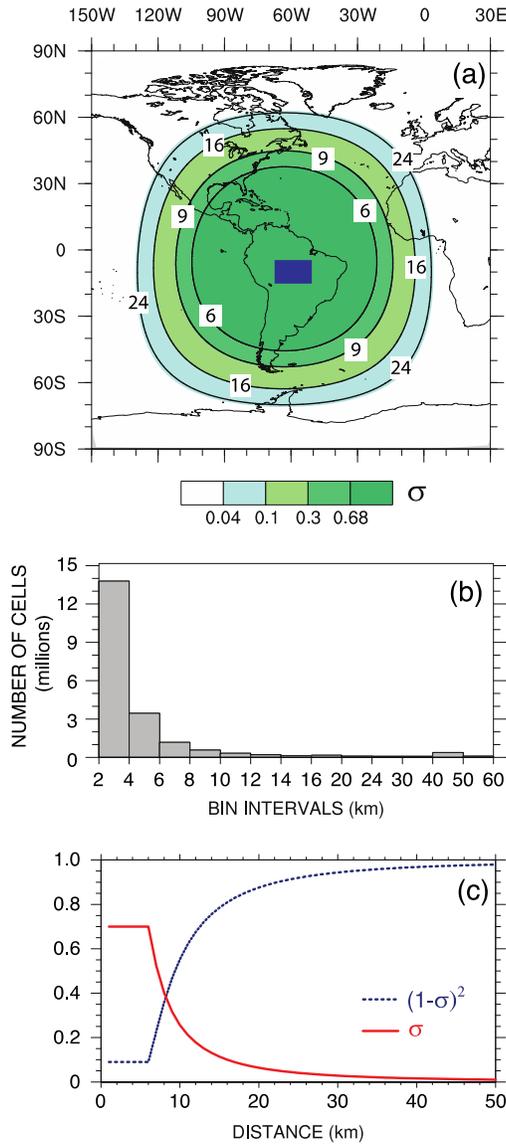


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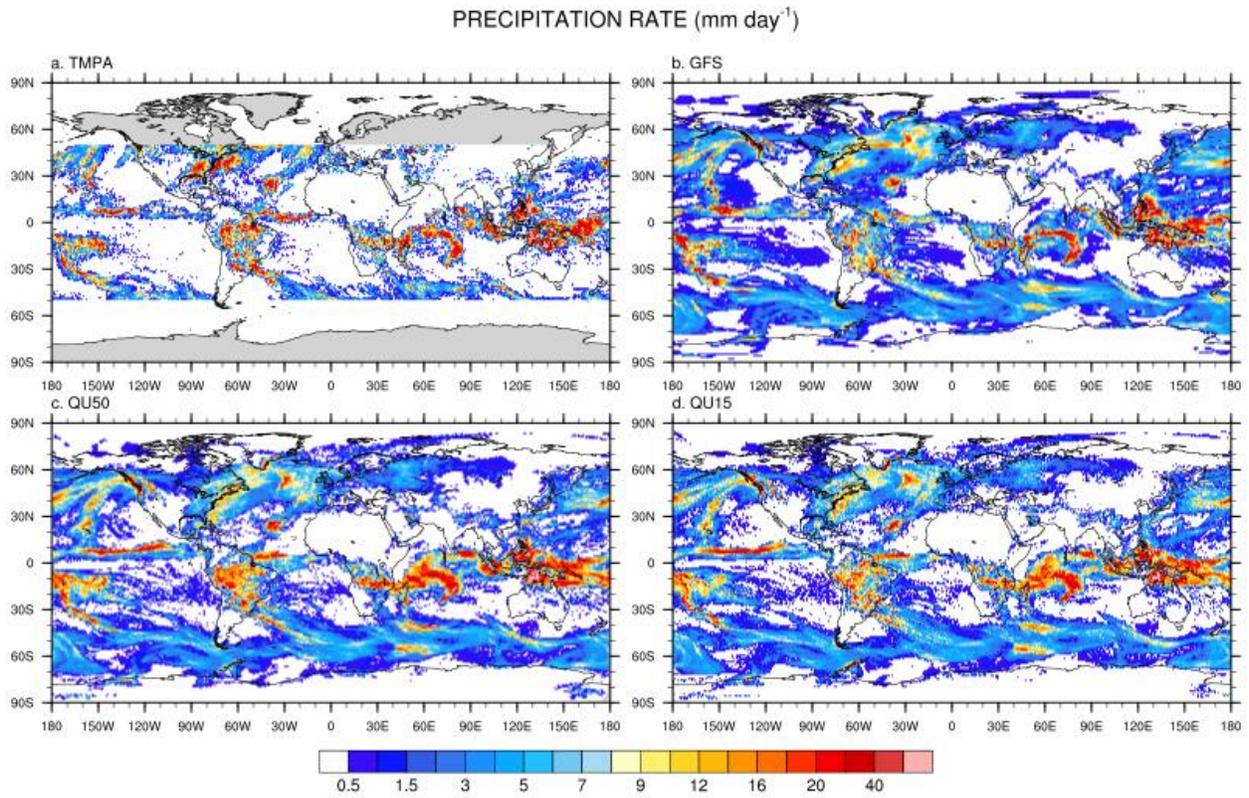


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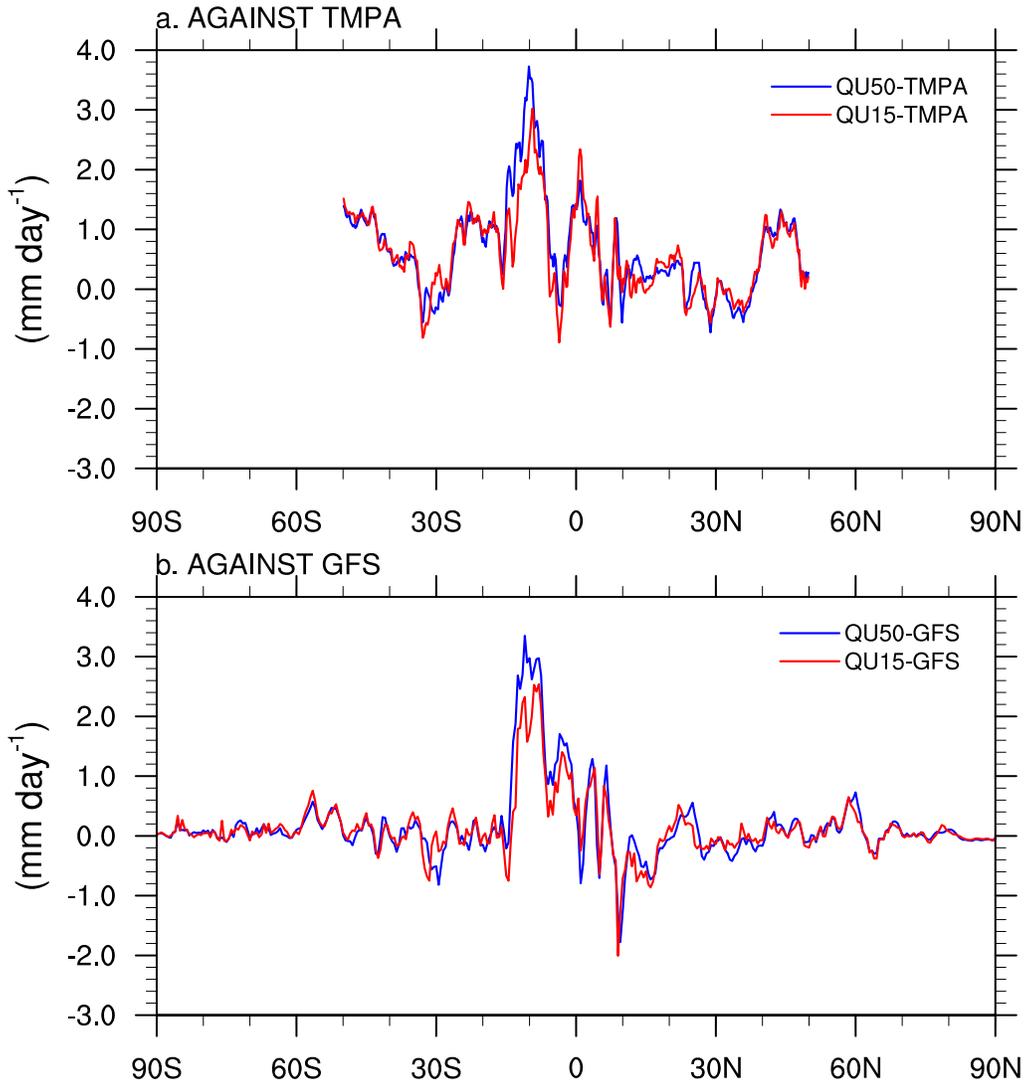
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Figure 3: Zonal mean differences in the precipitation rate calculated between 0000 UTC 11 January 2014 and 0000 UTC 14 January 2014 between a) QU50, QU15 and TMPA data; and b) QU50, QU15, and GFS forecast. Units are mm day<sup>-1</sup>.

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CONVECTIVE AND GRID-SCALE PRECIPITATION RATE ( $\text{mm day}^{-1}$ )

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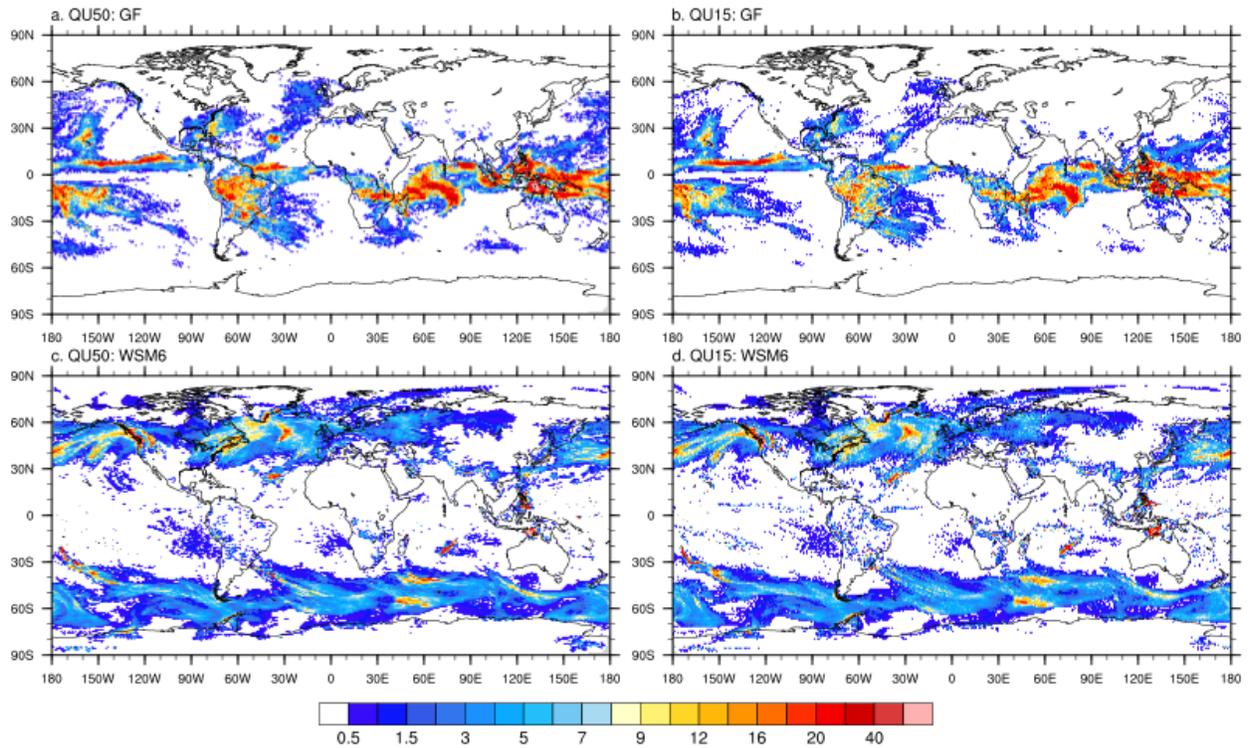
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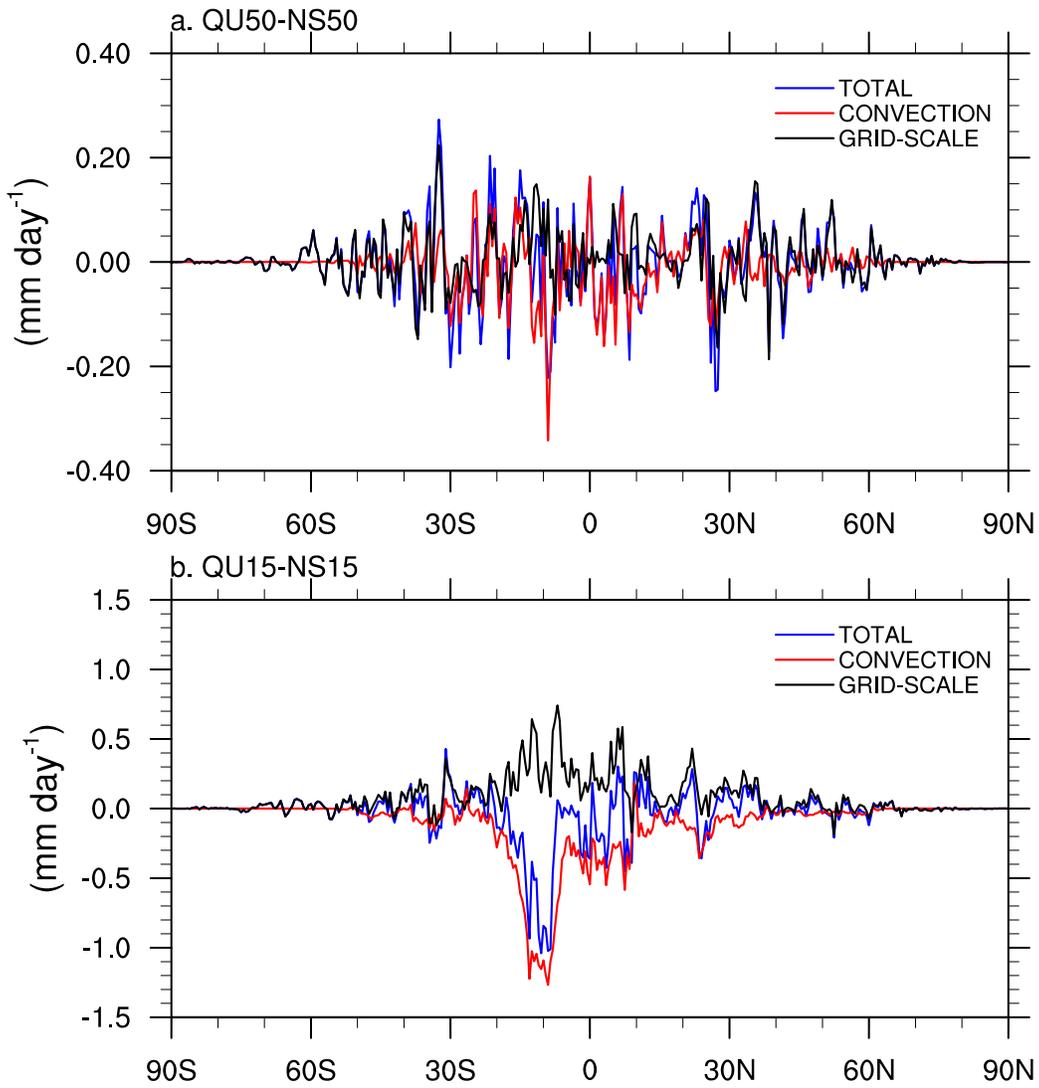
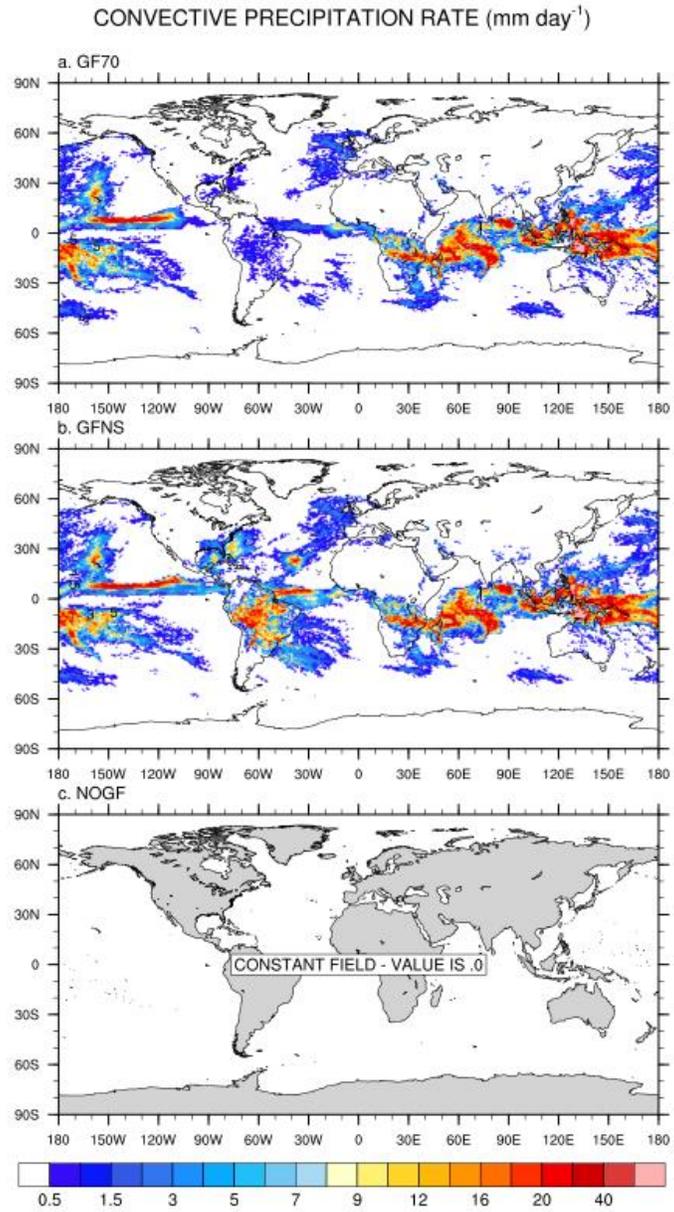


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950 Figure 6: Geographical distribution of the convective precipitation rate calculated between 0000 UTC 11  
951 January 2014 and 0000 UTC 14 January 2014 and simulated with a) GF70; b) GFNS; and c) NOGF. Units  
952 are mm day<sup>-1</sup>.

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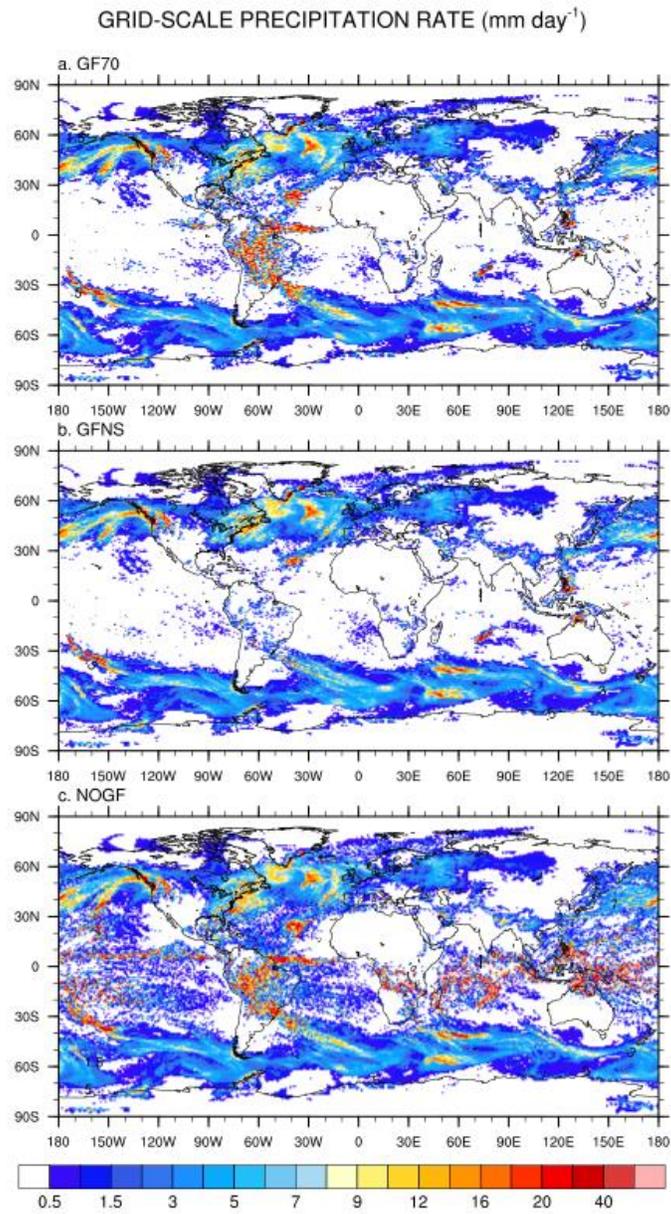
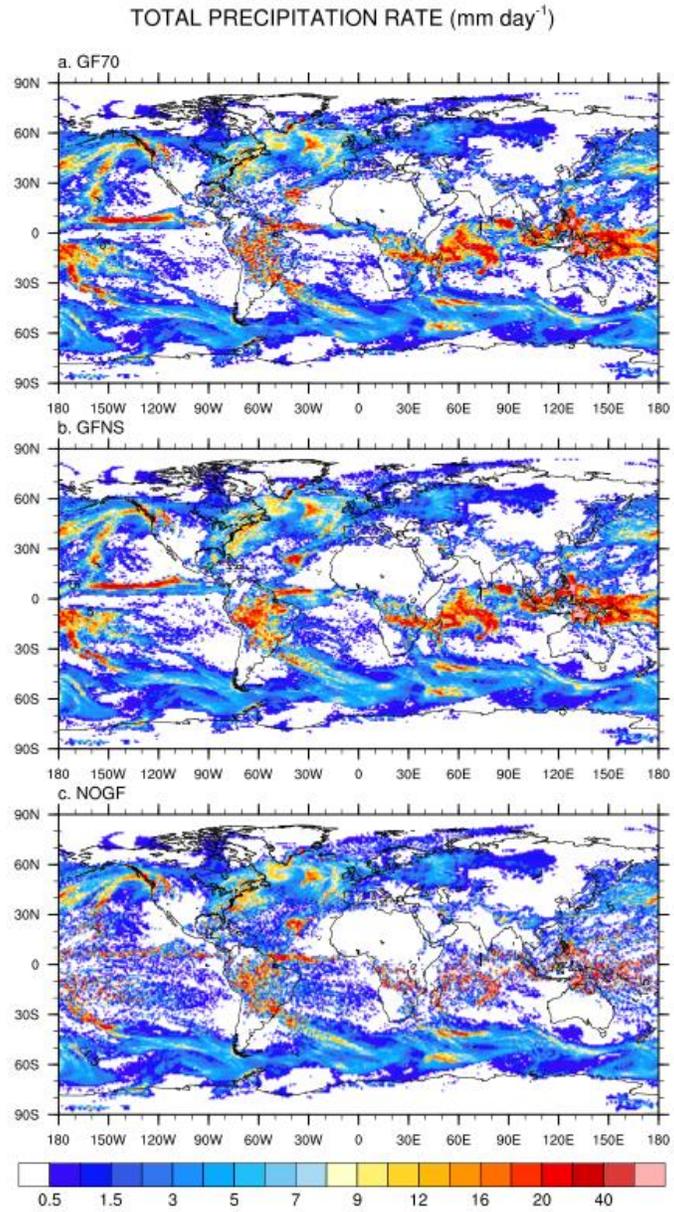


Figure 7: As Fig. 6, but for the grid-scale precipitation rate.

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Figure 8: As Fig. 6, but for the total precipitation rate.

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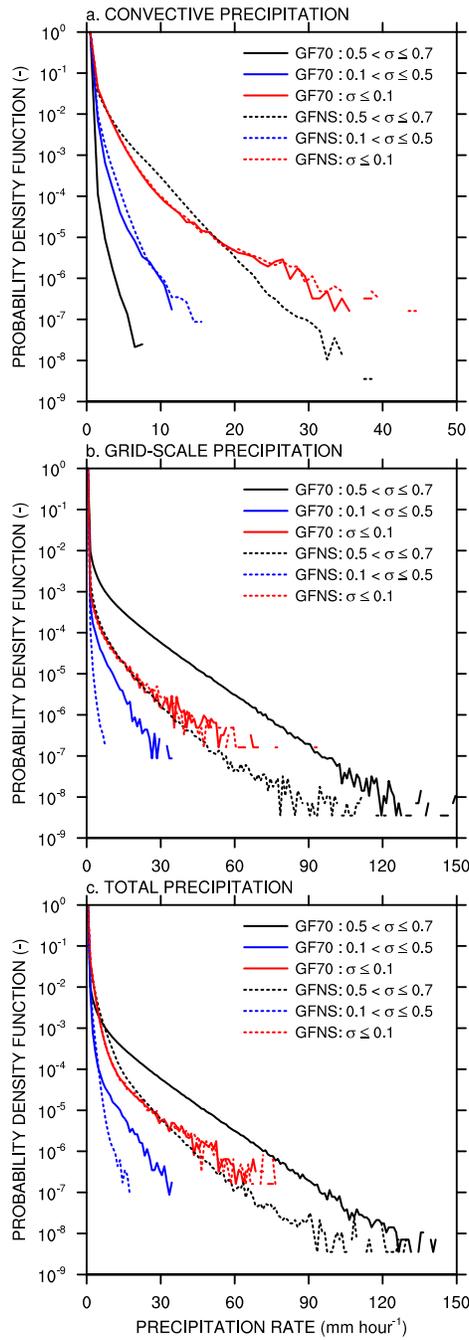
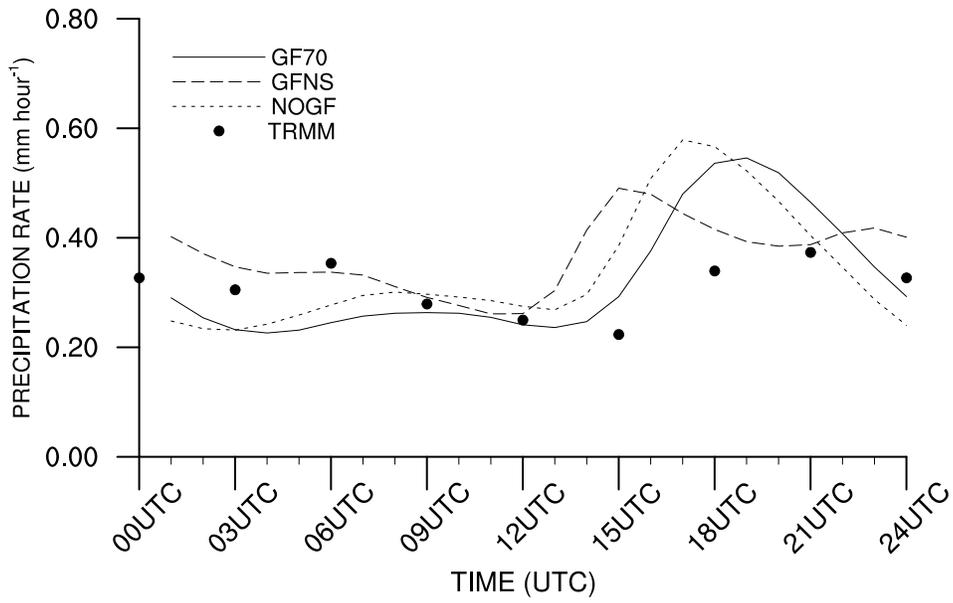


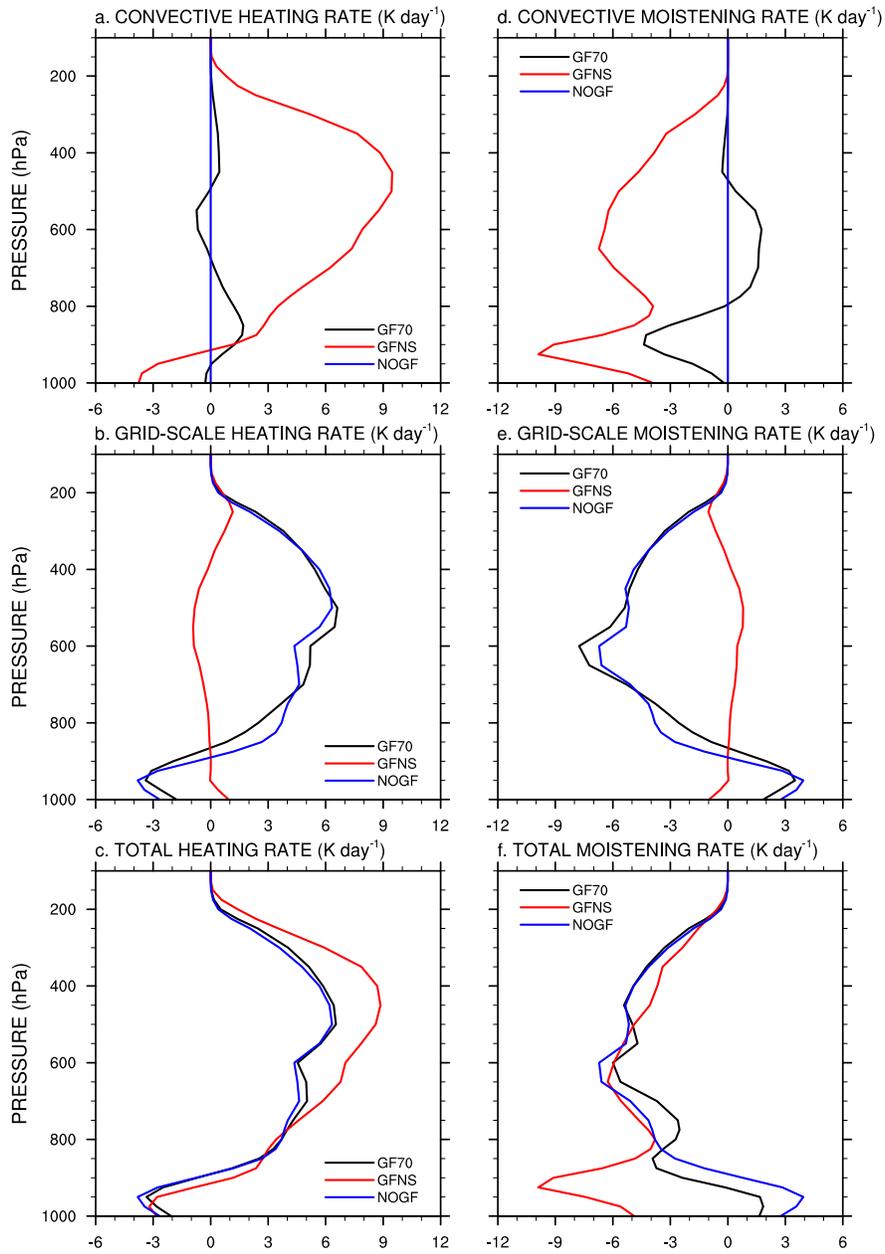
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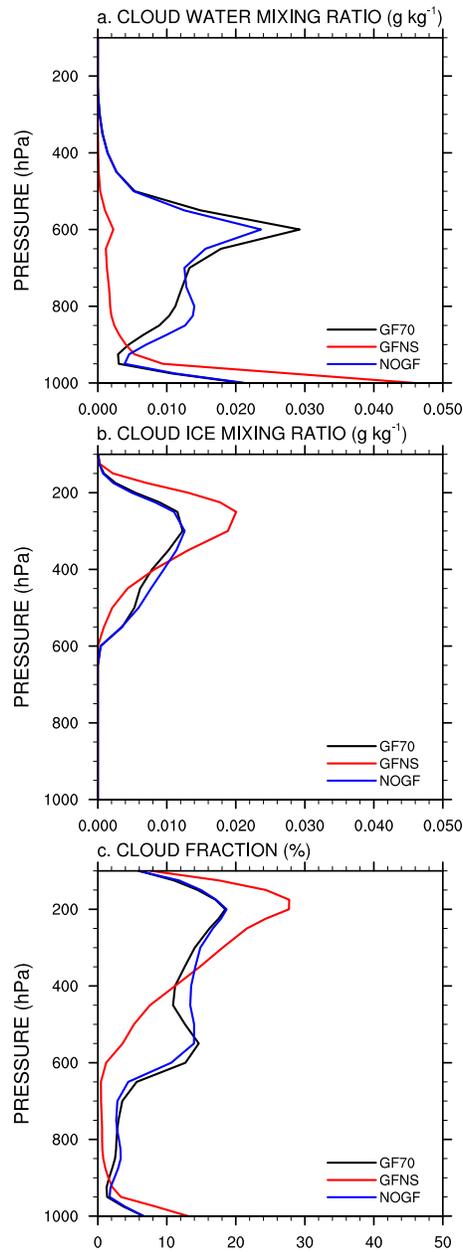
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1072 Figure 11: Vertical distribution of a) the convective heating rate, b) the grid-scale heating rate, and c) the  
 1073 convective plus grid-scale heating rate simulated with GF70 (black line), GFNS (red line), and NOGF (blue  
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1098 Figure 12: Vertical distribution of the a) cloud water mixing ratio (g kg<sup>-1</sup>); b) cloud ice mixing ratio (g kg<sup>-1</sup>),  
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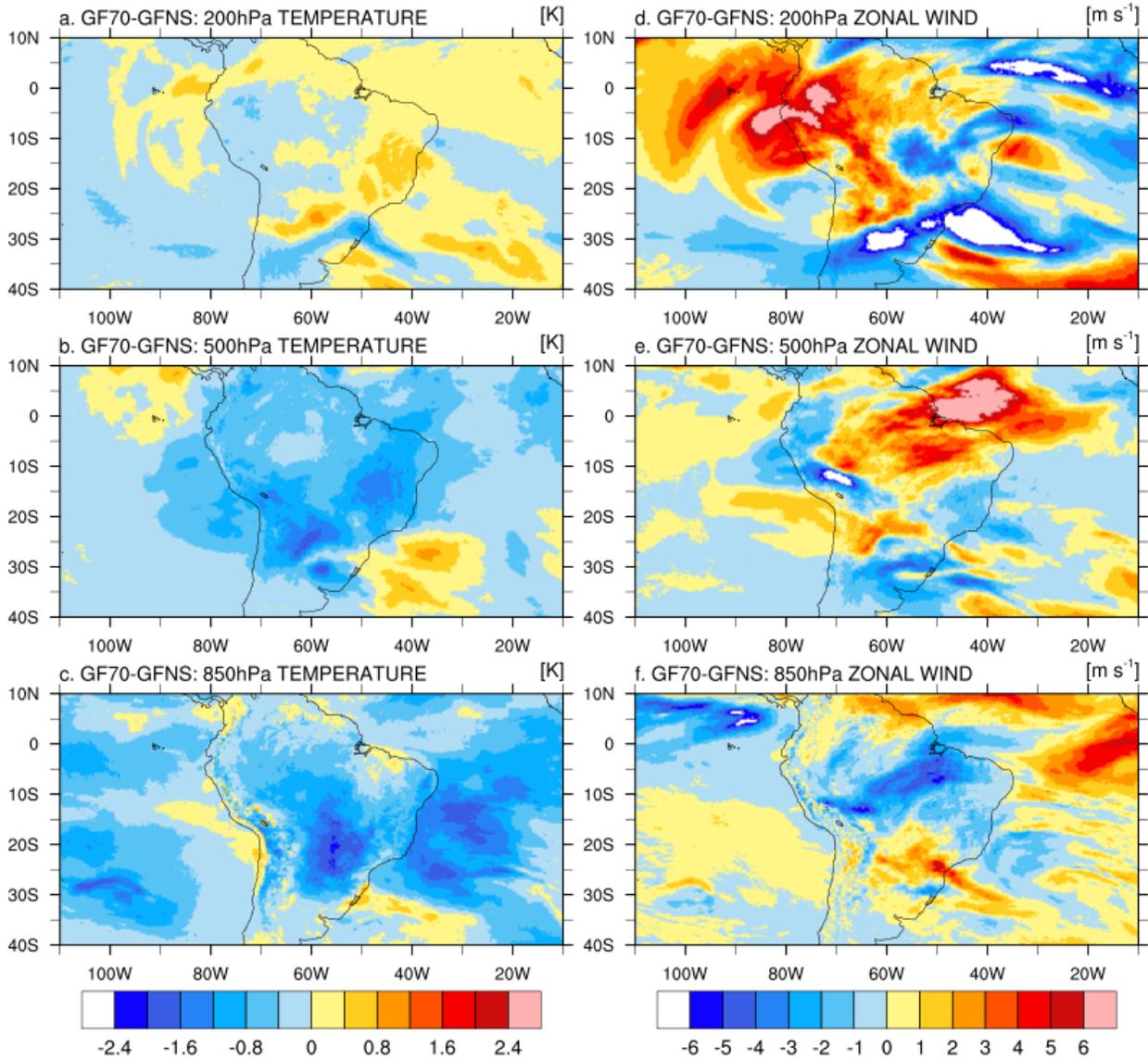
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